

NOTICE INVITING TENDER (NIT)

1.1 GENERAL

1.1.1 NAME OF WORK:

Uttar Pradesh Metro Rail Corporation (UPMRC) Ltd. invites Open Tenders on international competitive basis from eligible applicants from all countries and all areas, who fulfill qualification criteria as stipulated in clause 1.1.4 of NIT, for the work **“KNPCC-05- Design and Construction of Tunnel from start of elevated ramp (after Moti Jheel Metro Station) to end of Nayaganj station including four underground metro stations (viz. Chunniganj, Naveen Market, Bada Chauraha and Nayaganj) and ramp including Architectural finishes, E&M, TVS, ECS etc. on Corridor-1 of Kanpur MRTS Project at Kanpur, Uttar Pradesh, India”.**

The brief scope of the work and site information is provided in ITT Clause A1 & Employer's Requirements (Volume –3).

1.1.2 KEY DETAILS:

| | |
|---|--|
| Approximate cost of work | INR 1400 Crores |
| Tender Security amount | INR 14.00 Crores |
| Completion period of the Work | 36 months |
| Tender documents on sale | From 10.07.2020 to 10.08.2020. (between 09:30 Hrs to 17:30 Hrs) on working days |
| Cost of Tender documents | 23,600/- (inclusive of 18% GST) (Demand Draft on a scheduled commercial bank based in India in favour of “Uttar Pradesh Metro Rail Corporation Ltd”) payable at Lucknow. |
| Last date of Seeking Clarification | 13.08.2020 |
| Pre-bid Meeting | 13.08.2020 @ 15:00 Hrs |
| Last date of issuing addendum | 26.08.2020 |
| Date & time of Submission of Tender | 18.09.2020 @ 15:00 Hrs. |
| Date & time of opening of Tender | 18.09.2020 @ 15:30 Hrs. |
| Authority and place for purchase of tender documents, seeking clarifications and submission of completed tender documents | Chief Engineer (Contract), Uttar Pradesh Metro Rail Corporation, Administrative Building, Vipin Khand, Gomti Nagar, Near Dr.Bhimrao Ambedkar Samajik Parivartan Sthal, Lucknow-226010, Uttar Pradesh, India Email: cecontractlmrc@gmail.com |

1.1.3 SOURCE OF FUNDS:

UPMRC has applied for Loan from multilateral funding agency (EIB) hereinafter-called "Funding Agency" towards the part cost of the Project, and intends to apply a portion of the proceeds of the loans to payments under this contract. Disbursement of the loans will be subject, in all respects, to the terms and conditions of the Loan Agreements, including the disbursement procedures and the applicable procurement guidelines of EIB. ([http://www.eib.org/attachments/strategies/guide to procurement en.pdf](http://www.eib.org/attachments/strategies/guide_to_procurement_en.pdf)). No party other than UPMRC shall derive any rights from the Loan Agreement or have any claim to loan proceeds. The above Loan Agreement will cover only a part of the project cost. The remaining portion shall be financed through equity participation by the Government of India and Government of Uttar Pradesh and other appropriate means.

1.1.4 QUALIFICATION CRITERIA:

1.1.4.1 Eligible Applicants: A Tenderer may be from any country and all areas either a single entity or any combination of entities in the form of a joint venture or consortium under an existing agreement. Please refer Clause A3 of ITT (which under its sub-clause A3.10 also includes requirements/stipulations regarding (a) Lead Partner of JV/Consortium (b) Non Substantial Partners (c) Requirement for members of JV /Consortium (d) Change in JV /Consortium (e) Participation by Subsidiary Company or Parent Company with credentials of Parent or Subsidiary Company).

1.1.4.2 Minimum Eligibility Criteria:

A. Work Experience: For a Joint Venture / Consortium to qualify, each of its partner (including non-substantial partners) must have experience of executing at least one Civil and/or E&M and/or "TVS & ECS" work of minimum 20% of NIT value in last 07 years. The tenderer shall submit documentary evidence for the same.

The tenderers will be qualified only if they satisfy the criteria *as given in para A.1, A.2 and A.3* below (during last Seven years ending **30.06.2020**):

A.1: Work experience of Shield tunneling and construction of u/g station by cut & cover method:

(i) At least one "similar work"* of value of **Rs. 966.00 Crores** or more **and** at least one underground metro station (having plan area of at least 4500 sqm) in urban environment if not included in this works.

OR

(ii) Two "similar works"* each of value **Rs. 604 Crores** or more **and** at least one underground metro station (having plan area of at least 4500 sqm) in urban environment if not included in this works.

OR

(iii) Three "similar works"* each of value **Rs. 483.00 Crores** or more **and** at least one underground metro station (having plan area of at least 4500 sqm) in urban environment if not included in this works.

- In case of JV/Consortium the work of tunnel & station may be by different partners also.

- Tenderer (or atleast one member in case of JV/Consortium) should have carried out at least one “similar work”* of value **Rs. 483.00 Crores** or more in India or in a country outside their own country.

**“Similar Work” for this contract shall be work of construction of Tunnel by shield TBM in urban environment with finished internal dia. of more than 5.0 m with or without underground metro station in urban environment.*

- The bidder should have minimum experience of having constructed a total of minimum of 2.5 km of tunnel length by shield TBM in urban environment (In case of twin tunnel each tunnel shall be counted as a separate Tunnel for calculation of length of tunnel) with finished internal dia. of more than 5.0 m (including completed portion of ongoing works) with or without underground metro station in urban environment (each having plan area of at least 4000 sqm) using cut & cover method. This requirement has to be met through one/two/three similar works of value mentioned in clause 1.1.4.2A.1 (i),(ii) &(iii) respectively.

A.2: Work experience of E&M Works (Detail Engineering, Supply, Installation, Testing and Commissioning of Electrical and Mechanical system including Fire and Hydraulic system) for U/G Metro Station/s

One single E&M work of value **Rs. 25 Crores** or more for Detailed Engineering, Supply, Installation, Testing and commissioning of Electrical and Mechanical system including Fire and Hydraulic system for Metro Rail / Railway / Airport / Infrastructure projects / Commercial Projects / Industrial plants.

- Tenderer (or atleast one member in case of JV/Consortium) should have carried out the above work of value **Rs. 25 Crores** or more in India or in a country outside their own country.

A.3: Work experience ECS & TVS work (Design verification, Detail Engineering, Supply, Installation, Testing and Commissioning of Environment Control System (ECS) And Tunnel Ventilation System (TVS)) for U/G Metro Station/s

One single ECS & TVS work of value **Rs.25 Crores** or more for Design verification, Detail Engineering, Supply, Installation, Testing and Commissioning of Environment Control System (ECS) / Tunnel Ventilation System (TVS) for Metro Rail / Railway /Airport / Infrastructure projects / Commercial Projects.

- Tenderer (or atleast one member in case of JV/Consortium) should have carried out the above work of value **Rs. 25 Crores** or more in India or in a country outside their own country.

Notes:

- The tenderer shall submit details of work executed by them in the Performa of **Annexure-1** for the works to be considered for qualification of work experience criteria. Documentary proof such as completion certificates from client clearly indicating the nature/scope of work, actual completion cost and actual date of

completion for such work should be submitted. ***The offers submitted without this documentary proof shall not be evaluated.*** In case the work is executed for private client, copy of work order, bill of quantities, bill wise details of payment received certified by C.A.(Chartered Accountant), T.D.S (Tax Deducted at Source)certificates for all payments received and copy of final/last bill paid by client shall be submitted.

- Value of successfully completed portion of any ongoing work up to 30.06.2020 will also be considered for qualification of work experience criteria.
- For completed works, value of work done shall be updated to 30.06.2020 price level assuming 5% inflation for Indian Rupees every year and 2% for foreign currency portions per year. **Selling rate of exchange at the close of business of the State Bank of India on the day twenty-eight days before the latest date of Tender Submittal shall be considered for calculating equivalent value in INR.**
- In case of joint venture / Consortium, full value the work, if done by the same joint venture or any of members of the Joint Venture shall be considered. However, if the work done by them in any other JV/consortium, value of work as per his percentage participation in that JV shall be considered.
- Criterion for work experience for "Shield tunneling and construction of underground station by cut & cover method" (Para A.1) shall be satisfied by a single entity/JV/ Consortium.
- Criterion for work experience for "E&M works" (Para A.2) shall be satisfied by the tenderer himself **or a subcontractor.**
- Similarly, criterion for work experience for "ECS & TVS work" (Para A.3) shall be satisfied by the tenderer himself or a **subcontractor.**
- Subcontractor/s for "E&M works" and "ECS & TVS work" may be the same or different.
- A JV partner can participate in only one of the bidding JV/Consortium while a subcontractor for "E&M"/ "ECS&TVS" can participate with different/ multiple Tenderers.
- In case the bidder or their "ECS & TVS" Sub contractor does not have the experience as required in para A.3.2 for "ECS & TVS work", **Support documents** from specialist vendor/designer in support of having such experience confirming their willingness with the bidder/sub-contractor for **meeting the requirement of clause A.3.2** shall be submitted along with the bid. The name of specialist vendor/designer for BMS work of "E&M" and "ECS&TVS" shall also be submitted with bid.
- **In case the bidder cites a work experience which includes both "E&M" and "ECS & TVS" works, the breakup of the two will be clearly indicated in Annexure-1 of NIT.**

B. - Financial Standing: The tenderers will be qualified only if they have minimum financial capabilities as below:

- (i) **T1 – Liquidity:** The tenderer must have liquidity equal to cash flow requirement of value **Rs. 57.52 Crores** for the contract.
 - a) The liquidity shall be ascertained from Net Working Capital {Current Assets – (current liabilities + provisions)} as per latest audited balance sheet and/or from the Banking reference(s).

- b) Banking reference(s) should contain in clear terms the amount that the Bank will be in a position to lend for this work to the applicant/member of the Joint Venture/Consortium. In case the Net Working Capital (as seen from the Balance Sheet) is negative, only the Banking reference(s) will be considered, otherwise the aggregate of the Net Working Capital and submitted Banking reference(s) will be considered for working out the Liquidity.
- c) The Banking references should be from a Scheduled Commercial Bank in India or from an International Bank of repute (in case of foreign vendors) acceptable to UPMRC as **per standard proforma provided in NIT as Annexure 4** and it should not be more than 3 months old as on the date of submission of bids
- d) In Case of JV: - Requirement of working capital is to be distributed between members as per their percentage participation and every member should satisfy the requirement for his portion.

Example: Let member-1 has percentage participation=M and member-2 has percentage participation=N. If minimum working capital required is 'W' then working capital of member-1 $\geq \frac{W M}{100}$ and working capital of member-2 $\geq \frac{W N}{100}$

- e) In case the applicant is a Joint Venture/Consortium and if Banking Reference is issued by the bank in favour of the Joint Venture/Consortium for this contract, then it will be considered for the tenderer and if the Banking reference(s) is issued in favour of any member of JV/Consortium it will be considered only for that member.
- (ii) **T2 - Profitability:** Profit before Tax should be positive in in at least 2 (two) of the last 5 audited financial years.

In Case of JV/Consortium- The profitability of only lead member shall be evaluated.

- (iii) **T3 - Net Worth:** Net Worth of tenderer should be positive in last Two financial years.

In Case of JV/Consortium- Each member of the JV should have positive Net Worth in the last Two financial years.

- (iv) **T4 - Annual Turnover:** The average annual turnover **from construction** in last five financial years should be \geq **Rs. 322 Crores.**

The average annual turnover of JV/Consortium will be based on percentage participation of each member.

Example: Let Member-1 has percentage participation = M and Member- 2 has = N. Let the average annual turnover of Member-1 is 'A' and that of Member-2 is 'B', then the average annual turnover of JV will be = $(AM+BN)/100$

Notes:

- Financial data for latest last five audited financial years has to be submitted by the tenderer in **Annexure-2** of NIT along with audited balance sheets. The financial data in the prescribed format shall be certified by the Independent Financial Auditor (Statutory Auditor) of the company appointed under the Company Act or by a Chartered Accountant with his stamp and signature in original. In case any discrepancy in data is found between the balance sheet and the financial

information submitted, the data as available in the balance sheet will be considered. In case audited balance sheet of the last financial year is not made available by the bidder, he has to submit an affidavit certifying that 'the balance sheet has actually not been audited so far'. In such a case the financial data of previous '4' audited financial years will be taken into consideration for evaluation. **If audited balance sheet of any other year than the last year is not submitted, the tender will be considered as non-responsive.**

- Where a work is undertaken by a group, only that portion of the contract which is undertaken by the concerned applicant/member should be indicated and the remaining done by the other members of the group be excluded. This is to be substantiated with documentary evidence.

1.1.4.3 Bid Capacity Criteria:

Bid Capacity: The tenderers will be qualified only if their available bid capacity is more than the approximate cost of work as per NIT. Available bid capacity will be calculated based on the following formula:

$$\text{Available Bid Capacity} = 2 * A * N - B$$

Where,

A = Maximum of the value of **construction works** executed in any one year during the last five financial years (updated to **30.06.2020** price level assuming 5% inflation for Indian Rupees every year and 2% for foreign currency portions per year).

N = No. of years prescribed for completion of the work

B = Value of existing commitments (**as on 30.06.2020**) for on-going construction works during period of **36 months w.e.f. 01.07.2020**.

In the case of a group, the above formula will be applied to each member to the extent of his proposed participation in the execution of the work.

Example for calculation of bid capacity in case of JV / Consortium / Group

Suppose there are 'P' and 'Q' members of the JV / Consortium / Group with their participation in the JV / Consortium / Group as 70% and 30% respectively and available bid capacity of these members as per above formula individually works out 'X' and 'Y' respectively, then Bid Capacity of JV / Consortium / Group shall be as under:

$$\text{Bid Capacity of the JV / Consortium / Group} = 0.7X + 0.3Y$$

Notes:

- Financial data for latest last five financial years has to be submitted by the tenderer in **Annexure-3A** along with audited financial statements. The financial data in the prescribed format shall be certified by the Independent Financial Auditor (Statutory Auditor) of the company appointed under the Company Act or by a Chartered Accountant with his stamp and signature in original. In case any discrepancy in data is found between the balance sheet and the financial information submitted, the data as available in the balance sheet will be considered.
- Value of existing commitments for on-going construction works during period of

36 months w.e.f. **01.07.2020** has to be submitted by the tenderer in **Annexure-3B**. These data shall be certified by Independent Financial Auditor (Statutory Auditor) of the company appointed under the Company Act or by a Chartered Accountant with his stamp and signature in original

- 1.1.4.4** The tender submission of tenderers, who do not qualify the minimum eligibility criteria & bid capacity criteria stipulated in the clauses 1.1.4.2 to 1.1.4.3 above, shall not be considered for further evaluation and therefore rejected. If the tenderer fails to meet the eligibility and qualification criteria, then further scrutiny of other technical parameters will not be done and Financial Proposals of such Tenderers shall not be opened.

The mere fact that the tenderer is qualified as mentioned in sub clause 1.1.4.2 to 1.1.4.3 shall not imply that his bid shall automatically be accepted. The same should contain all technical data as required for consideration of tender prescribed in the ITT. Technical proposals meeting the Technical requirement and found substantially responsive only will be qualified for opening of their Financial Proposal.

1.1.4.5 Minimum Key Staff requirement –

A. Civil Works including Tunnel, Underground Stations, ramps, architectural finishes etc.

- a) Project Manager** – having minimum 20 years of total experience out of which 10 years should be in projects of similar nature.
- b) Deputy Project Manager (DPM) Station** - having minimum 15 years of total experience out of which 8 years should be in projects of Under Ground Metro Construction.
- c) Deputy Project Manager (DPM) Tunnel** - having minimum 15 years of total experience out of which 8 years should be in tunnelling.
- d) Station In-Charge (one for each station)** - having minimum 8 years of total experience out of which 5 years should be in projects of Under Ground Metro Station Construction.
- e) Chief Safety Manager** – As per the qualification and experience given in General Instruction – 2 of UPMRC SHE manual.
- f) Chief Quality Manager** – having minimum 15 years of total experience out of which 5 years should be in projects of Metro Construction.
- g) Electrical Utility Engineer-** having minimum 10 years of total experience out of which 5 years should be for Electrical utility diversion works related to Metro Construction.

B. For E&M and ECS & TVS work,

- a) Project Manager** – having minimum 15 years of total experience out of which 3 years should be in projects of similar nature.
- b) Deputy Project Manager (E&M)** - having minimum 10 years of total experience out of which 2 years should be in E&M work of Metro Station.
- c) Deputy Project Manager (ECS & TVS)-** having minimum 10 years of total experience out of which 2 years should be in ECS & TVS work of Metro Stations.
- d) Station In-Charge (one for each station)** - having minimum 8 years of total experience out of which 2 years should be in projects of E&M / ECS&TVS of Metro station.

e) **Safety Manager** – As per the qualification and experience given in General Instruction – 2 of UPMRC SHE manual.

NOTE: CVs of above Key Personals shall be submitted in a format that suitably illustrates the Qualifications and Work Experience of Key Personal proposed to be deployed. Indicative proforma for submission of CVs is placed as Annexure – 5 of NIT.

1.1.5 The Tender documents consist of:

Volume 1

Notice Inviting Tender
Instructions to Tenderers (including Annexures)
Form of Tender (including Appendices)

Volume 2

General Conditions of Contracts
Special Conditions of Contract (including Schedules): Part 1: for Civil works (including Tunnel, Underground Stations, Cut & Cover, Ramps, Architectural Finishes etc.)
Special Conditions of Contract (including Schedules): Part 2: for E&M and ECS & TVS etc.

Volume 3

Employer's Requirements – General (Part 1: Civil, Part 2: E&M, TVS, ECS etc.)
Employer's Requirements – Functional (Part 1: Civil, Part 2: E&M, TVS, ECS etc.)
Employer's Requirements – Design (Civil)
Employer's Requirements – Construction (Civil)
Employer's Requirements – Appendices

Volume 4

Outline Design Specifications (Civil)

Volume 5

Outline Construction Specifications (Civil)

Volume 6

Tender Drawings (Part 1: Civil, Part 2: E&M, TVS, ECS etc.)

Volume 7

Bill of Quantities

Volume 8

Condition of Contract on Safety, Health & Environment (SHE)

Volume 9

Reference Document - Geotechnical Report

1.1.6 The contract shall be governed by the documents listed in para 1.1.5 above along with latest edition of CPWD Specification, IRS Specifications & MORTH Specifications. These may be purchased separately from the market.

1.1.7 The tenderers may obtain further information/ clarification, if any, in respect of these tender documents from the office of Chief Engineer (Contract), Uttar Pradesh Metro Rail Corporation, Administrative Building, Vipin Khand, Gomti Nagar, Near Dr. Bhimrao Ambedkar Samajik Parivartan Sthal, Lucknow-226010.

1.1.8 All tenderers are hereby cautioned that tenders containing any material deviation or reservations as described in Clause E4 of "Instructions to Tenderers" and/or minor deviation without quoting the cost of withdrawal shall be considered as non-responsive and is liable to be rejected.

1.1.9 Late tenders (received after date and time of submission of bid) shall not be accepted under any circumstances.

1.1.10 Tenders shall be valid for a period of **180 days** from the date of submission of Tenders and shall be accompanied with a tender security of the requisite amount in the form of a Bank Guarantee (as per **Annexure-7 of III**) or Demand Draft or Pay Order or Banker's Cheque from a Scheduled commercial bank (Indian / Foreign) based in India.

Note: Bidders to note that the payment of tender security shall be made from the account of bidder only. However, **in case of JV/ Consortium, the tender security can either be paid from JV/Consortium account or one of the constituent member of JV/Consortium.**

If tender security has been made from other than the account mentioned above, same shall not be accepted and all such bids shall be considered ineligible and summarily rejected.

1.1.11 UPMRC reserves the right to accept or reject any or all proposals without assigning any reasons. No tenderer shall have any cause of action or claim against the UPMRC for rejection of his proposal.

1.1.12 Employer will award the Contract to the Tenderers whose Tender has been determined to be substantially responsive and compliant to the requirements contained in the Tender Documents and who has offered the Lowest Evaluated Reasonable Tender Price. Variant bids are not allowed.

1.1.13 Any suit or application, arising out of any dispute or differences on account of this tender shall be filed in a competent court at Lucknow, Uttar Pradesh only and no other court or any other district of the country shall have any jurisdiction in the matter.

Chief Engineer(Contract)

Uttar Pradesh Metro Rail Corporation

ANNEXURE-1
WORK EXPERIENCE

Tenderer's name

Date.....

| | | |
|--|--|--|
| Details of works executed by tenderer/s to be considered for qualification of work experience criteria. | | |
| Details to be submitted separately for individual works claimed for work experience (viz. Tunnels, Underground Stations, "E&M", "ECS & TVS") as per para 1.1.4.2 A (at the price level on 30.06.2020) considering escalation as per Clause 1.1.4.2 of Notes Bullet no.3) | | |
| Similar Contract Number/s _____ of _____ required | Information | |
| Contract Identification | | |
| Award date | | |
| Completion date | | |
| Employer's Name | | |
| Employer's Address: | | |
| Telephone / Fax number: | | |
| E Mail | | |
| Role in Contract (Individual/JV-Consortium member) | Individual | JV Member |
| Completion Cost | Currency (as stated in Clients Certificate) | In equivalent INR at 30.06.2020 price level |
| If JV member specify percentage participation in contract & amount (Please refer Note-1) | % participation | In equivalent INR at 30.06.2020 price level |
| Quantum of Similar work in support of clause no. 1.1.4.2 A | | In case of JV/Consortium, actual Work / length executed by the Applicant (duly substantiated with Client Certificate) |

NOTE: 1. Only the value of contract as executed by the applicant/member in his own name should be indicated. Where a work is undertaken by a group, only that portion of the contract which is undertaken by the concerned applicant/member should be indicated and the remaining done by the other members of the group be excluded. This is to be substantiated with documentary evidence.

2. Separate sheet for each work along with Clients Certificate to be submitted.

ANNEXURE - 1A: Summary of Information provided in Annexure-1

Tenderer's name

Date.....

Details of experience - (i) TBM Tunnels & U/G Stations, (ii) E&M and (iii) TVS & ECS (Tick the correct one)

| Name of Applicant (each member in case of group) | Total Number of works as per clause 1.1.4.2 A at the price level of 30.06.2020 | No. of contracts delayed, i.e., completed beyond the original date of completion |
|--|--|--|
| | | |
| Total cost of eligible work experience | | |

NOTE:-

1. In case the work was done as JV/Consortium, only the value of work done by the applicant as per his Percentage participation must be given.
2. Reasons of delay whether on contractors account or on account of Employer in each applicable case need to be enclosed separately.
3. Separate sheets to be submitted for (i) TBM Tunnel & Underground stations, (ii) E&M and (iii) TVS & ECS

ANNEXURE- 2

Financial DATA

Tenderer's name

Date.....

(Each Applicant or member of a JV must fill this form separately)

| S.N. | Description | Financial Data for Latest Last 5 Years (Indian Rupees) | | | | |
|------|-------------------------------------|--|-----------|-----------|-----------|-----------|
| | | 2015-2016 | 2016-2017 | 2017-2018 | 2018-2019 | 2019-2020 |
| 1. | Total Assets | | | | | |
| 2. | Current Assets | | | | | |
| 3. | Total External Liabilities | | | | | |
| 4. | Current Liabilities | | | | | |
| 5. | Annual Profits Before Taxes | | | | | |
| 6. | Annual Profits After Taxes | | | | | |
| 7. | Net Worth [= 1 - 3] | | | | | |
| 8. | Working Capital [=2 - 4] | | | | | |
| 9. | Return on Equity | | | | | |
| 10 | Annual turnover (from construction) | | | | | |
| 11 | Gross Annual turnover | | | | | |

Attach copies of the audited balance sheets, including all related notes, income statements for the last five audited financial years, as indicated above, complying with the following conditions.

1. Separate Performa shall be used for each member in case of JV/Consortium.
2. All such documents reflect the financial data of the Applicant or member in case of JV, and not sister or Parent Company.
3. Historic financial statements shall be audited by Statutory Auditor of the Company under their seal & stamp and shall be strictly based on Audited Annual Financial results of the relevant period(s). No statements for partial periods will be accepted.
4. Historic financial statements must be complete, including all notes to the financial statements.
5. Foreign applicants, in whose country calendar year is also the financial year, may submit all relevant data for the last 5 years i.e. 2015, 2016, 2017, 2018 and 2019.
6. Return on Equity = Net Income / Shareholders Equity
Return on Equity = Net Income is for the full fiscal year (before dividends paid to common stock holders but after dividends to preferred stock).
Shareholders equity does not include preferred shares.
7. The above Annexure shall be duly certified by Chartered Accountant / Company Auditor under his signature & stamp.

ANNEXURE- 3A

FINANCIAL DATA

(CONSTRUCTION WORK DONE DURING THE LATEST LAST FIVE FINANCIAL YEARS)

NAME OF THE TENDERER (CONSTITUENT MEMBER IN CASE OF JV/CONSORTIUM):

(All amounts in Rupees in Crores)

| S. No. | DESCRIPTION | Financial Data for Last 5 Audited Financial Years | | | | |
|--------|---|---|----------------|----------------|----------------|----------------|
| | | Year 2015-2016 | Year 2016-2017 | Year 2017-2018 | Year 2018-2019 | Year 2019-2020 |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| | Total value of construction work done as per audited financial statements | | | | | |

NOTE:

1. Separate Performa shall be used for each member in case of JV/Consortium.
2. Attach attested copies of the Audited Financial Statements of the last five financial years as Annexure.
3. All such documents reflect the financial data of the tenderer or member in case of JV/Consortium, and not that of sister or parent company.
4. The financial data in above prescribed format shall be certified by Chartered Accountant / Company Auditor under his signature & stamp.
5. The above financial data will be updated to 30.06.2020 price level assuming 5% inflation for Indian Rupees every year and 2% for foreign currency portions per year. Selling rate of exchange at the close of business of the State Bank of India on the day twenty-eight days before the latest date of Tender Submittal shall be considered for calculating equivalent value in INR.

ANNEXURE- 3B

ANNEXURE 3B: Works in hand

AS on first day of the month of tender submission

Tenderer's name

Date.....

| Name and brief particulars of contract (Clearly indicate the part of the work assigned to the tenderer/applicant /s) | Name of client with telephone number and fax number | Contract Value In Rupees Equivalent (Give only the value of work assigned to the tenderer/ap plicant(s) (Assume inflation as given in Annexure1) | Value of balance work yet to be done in Rupee equivalent as on 30.06.2020 | <i>Date of Completion as per Contract Agreement</i> | <i>Expected Completion Date</i> | Delay if any, with reason | Value of work to be done in 2020-21 (01.07.2020 to 31.03.2021) | Value of work to be done in 2021-22 (01.04.2021 to 31.03.2022) | Value of work to be done in 2022-23 (01.04.2022 to 31.03.2023) | Value of work to be done in 2023-24 (01.04.2023 to 30.06.2024) |
|--|---|--|---|---|---------------------------------|---------------------------|--|--|--|--|
| | | | | | | | | | | |

Note: - The financial data in above prescribed format shall be certified by Chartered Accountant / Company Auditor under his signature & stamp.

ANNEXURE- 4

PROFORMA FOR BANKING REFERENCE FOR LIQUIDITY

BANK CERTIFICATE

This is to certify that M/s..... is a reputed company with a good financial standing.

If the contract for the work, namely..... is awarded to the above firm, we shall be able to provide overdraft/credit facilities to the extent of Rs..... to meet their working capital requirements for executing the above contract.

-Sd-

Name of Bank _____

Senior Bank Manager_____

Address of the Bank_____

• Change the text as follows for Joint Venture:

This is to certify that M/s. who has formed a JV with M/s..... and M/s..... for participating in this bid, is a reputed company with a good financial standing.

If the contract for the work, namely is awarded to the above joint venture, we shall be able to provide overdraft/credit facilities to the extent of Rs..... to M/s..... to meet their working capital requirements for executing the above contract.

(This should be given by the JV members in proportion to their financial participation)

KNPCC-05-Design and Construction of Tunnel from start of elevated ramp (after Moti Jheel Metro Station) to end of Nayaganj station including four underground metro stations (viz. Chunniganj, Naveen Market, Bada Chauraha and Nayaganj) and ramp including Architectural finishes, E & M, TVS, ECS etc. on Corridor-1 of Kanpur MRTS Project at Kanpur, Uttar Pradesh, India

ANNEXURE-5

Tenderer's name

Date.....

Key Staff Bio-data (Provide information for all key staff)

| | | |
|------------------------------|-----------------------------|---------------------------------------|
| Name of Applicant | | |
| Position | | |
| <i>Candidate Information</i> | Name of Candidate | Date of Birth |
| | Professional Qualifications | |
| <i>Present employment</i> | Name of Employer | |
| | Address of Employer | |
| | Telephone | Contact (manager / personnel officer) |
| | Fax | Email ID |
| | Job title of candidate | Years with present employer |

Summarize professional experience over the last 15 years, in reverse chronological order. Indicate particular technical and managerial experience relevant to the Project

| <i>From</i> | <i>To</i> | <i>Company / Project / Position / Relevant technical and managerial experience</i> |
|-------------|-----------|--|
| | | |