

**NOTICE INVITING TENDER (NIT)**

**1 GENERAL**

**1.1 Name of Work:**

Uttar Pradesh Metro Rail Corporation (UPMRC) Ltd., invites online open e-tenders on QCBS method (Quality cum Cost Based Selection) from eligible applicants, who fulfill qualification criteria as stipulated in Clause 1.4 of NIT, for the work, **KNPAGSM-01-“Consultancy Services for Independent Social Monitoring and Evaluation of Kanpur and Agra Metro Rail Project.”**

**1.2 Key details :**

Approximate cost of work ( NIT Value)	<b>₹ 1.18 Crores (Including GST )</b>
Tender Reference	<b>KNPAGSM-01</b>
Tender Security /EMD	<b>₹ 2.36 Lacs</b>  The instrument type for payment of tender security/ EMD shall be Demand Draft, Bank Guarantee, RTGS, NEFT & IMPS. No other mode of payment will be accepted.  (i) Payment of tender Security as per clause C 18.1.2 (i) of ITT is to be made by RTGS, NEFT & IMPS. The details of bank account of UPMRC are mentioned in succeeding para. The bidders are required to upload scanned copies of transaction of payment of tender security including e-receipt (clearly indicating UTR No. & tender reference must be entered in the remarks at the time of online transaction of payment) in online bid submission, failing which payment may not be considered. (Copy of GST registration no. to be provided along with Tender security/EMD)  (ii) Payment of tender security as per clause C 18.1.2 (ii) of ITT is to be made by BG/FDR/Demand Draft. BG/FDR/ Demand Draft shall be submitted in original in a sealed envelope in the office of CE/ Contract within due date and time of submission end date of tender.  <b>Validity of Tender Security/EMD in case of BG shall remain valid for a period of 45 days beyond the final bid validity period.</b>
Completion period of the Work	<b>30 Months</b>
Tender documents on sale	From <b>11.06.2024</b> (from 18:00 hrs) to <b>01.07.2024</b> (up to 15:00 hrs) on e-tendering website <a href="https://etenders.gov.in/eprocure/app">https://etenders.gov.in/eprocure/app</a> . Tender document can only be obtained on the website <a href="https://etenders.gov.in/eprocure/app">https://etenders.gov.in/eprocure/app</a> .
Cost of Tender documents	<b>INR 5,900/- (inclusive of 18% GST) Non-Refundable</b> (Payment of tender document cost/ tender fee is to be made only by RTGS, NEFT & IMPS. No other mode of payment will be accepted. The details of bank account of employer are mentioned in succeeding para. The Tenderers are required to upload scanned copies of transaction of payment of tender document cost/tender fee including e-receipt (clearly indicating <b>UTR No. &amp; tender reference</b> must be entered in the remarks at the time of online transaction of payment) failing which payment may not be considered at the time of online bid submission. (Copy of GST registration no. to be provided along with Tender document cost, if applicable)

Details of Bank Account for payment of Tender Security/ EMD and cost of tender document	<b>Name of the Bank</b> - HDFC Bank <b>Banks Address</b> - HDFC Bank Ltd, 6 Sapru Marg Hazratganj Lucknow <b>Account Name</b> - UPMRCL (Kanpur Project) <b>Account No.</b> - 50100301966502 <b>IFSC code</b> - HDFC0001267
Last date of Seeking Clarification:	<b>18.06.2024 upto 18:00 hrs.</b> Tenderers to note that seeking clarification on the tender shall be done by sending it on e-tendering portal only. Seeking clarification by mail or post will not be considered. Queries/clarifications from Tenderers after due date and time shall not be acknowledged.
Date & time of Submission of Tender online	Tender submission start date: <b>21.06.2024 (11:00 hrs).</b> Tender submission end date: <b>01.07.2024 (15:00 hrs).</b>
Date & time of opening of Tender	<b>02.07.2024 @ 15:00 Hrs.</b>
Authority and place for seeking clarifications etc.	<b>Chief Engineer/ Contract,</b> Uttar Pradesh Metro Rail Corporation, Administrative Building, Vipin Khand, Gomti Nagar, Near Dr. Bhimrao Ambedkar Samajik Parivartan Sthal, Lucknow-226010, Uttar Pradesh, India Telephone - 0522-2304015 E-Mail – <a href="mailto:cecontractlmrc@gmail.com">cecontractlmrc@gmail.com</a> <a href="https://etenders.gov.in/e procure/app">https://etenders.gov.in/e procure/app</a>

- 1.3** Any clarification/corrigendum/addendum to the tender documents shall be uploaded on the official e-portal only, without any obligation of press notification.

For further details, please visit official e-portal <https://etenders.gov.in/e procure/app>.

For any additional information & help for downloading & uploading, please contact e-tendering service desk at the following ID: support-eproc@nic.in or at Toll Free No.: (91)120-4001002, (91)120-4001005, (91)120-6277787.

#### **1.3.1 SOURCE OF FUNDS:**

This work shall be financed through equity participation of the Government of India and Government of UP and other appropriate means of Uttar Pradesh Metro Rail Corporation Ltd.

#### **1.4 QUALIFICATION CRITERIA:**

##### **1.4.1 Eligible Applicants:**

The tenders for this contract will be considered only from those tenderers (proprietorship firms, partnerships firms, companies, corporations,) who meet requisite eligibility criteria prescribed in the sub-clauses of Clause 1.4 of NIT. **JV/ Consortium are not allowed to participate in this tender.**

- i. A tenderer shall submit only one bid in the same tendering process. A tenderer who submits or participates in more than one bid will cause all of the proposals in which the tenderer has participated to be disqualified. Tenderers shall not have a conflict of interest. All Tenderers found to have a conflict of interest shall be disqualified. Tenderers shall be considered to have a conflict of interest with one or more parties in this bidding process, if:
  - (a) a tenderer has been engaged by the Employer to provide consulting services for the preparation related to procurement for / on implementation of the project;
  - (b) a tenderer is any associates/affiliates (inclusive of parent firms) mentioned in

subparagraph (a) above; or

- (c) a tenderer lends, or temporarily seconds its personnel to firms or organizations which are engaged in consulting services for the preparation related to procurement for / on implementation of the project, if the personnel would be involved in any capacity on the same project.
- ii. The payment of the tender cost is acceptable from any account. However, tenderer shall submit such transaction details along with their tender submission on e-portal. If the same transaction reference number has been submitted for more than one bids, all such bids shall be considered ineligible and summarily rejected.
- iii. **Participation by Subsidiary Company / Parent Company with credential of other Company**
  - a) Applicant in the capacity of a Subsidiary Company as a single entity is not permitted to use the credential of its Parent Company and/or its Sister Subsidiary Company/ Companies.
  - b) Applicant in the capacity of a Parent Company as a single entity is not permitted to use the credential of its Subsidiary Company/ Companies.

#### **1.4.2 Minimum Eligibility Criteria:**

##### **A. Work Experience:**

- (i) The tenderers will be qualified only if they have successfully completed \*similar work(s)/Service(s), completion date (s) of which falling during last five years ending last day of the month previous to the month of tender submission end date as given below (Value shall be rounded off to two decimal places):
  - a) At least one “similar work” \* of value of ₹ **94.40 Lakh** or more.

OR
  - b) At least Two “similar works” \* each of value of ₹ **59.00 Lakh** or more.

OR
  - c) At least Three “similar works” \* each of value of ₹ **47.20 Lakh** or more.

**\* Similar work(s)/Service(s) for this contract shall be “Consultancy Services for Social Monitoring or Social Impact Assessment (SIA) for any of the end client (funding agency), Principal Client, General Consultant as being a single project for Infrastructure Project or Linear project.**

##### **Notes :**

- a) The tenderer shall submit details of work executed by them in the Performa of **Annexure-1 & 1 A of NIT** for the works to be considered for qualification of work experience criteria. Documentary proof such as completion certificates from client clearly indicating the nature/scope of work, actual completion cost and actual date of completion for such work should be submitted. **The offers submitted without this documentary proof shall not be evaluated.** In case the work is executed for private client, copy of work order, bill of quantities, bill wise details of payment received certified by Chartered Accountant (C.A), Tax Deducted at Source (TDS) certificates/ Form 26 AS for all payments received and copy of final/last bill paid by client shall be submitted.
- b) For completed works, value of work done shall be updated from date of completion to last day of the month previous to the month of tender submission end date price level assuming 5% inflation for Indian Rupees every year and 2% for foreign currency

portions per year. The exchange rate of foreign currency shall be applicable 28 days before the submission end date of tender.

- c) In case of Joint venture / Consortium, full value of the work, if done by the same joint venture shall be considered. If the qualifying work(s) were done by them in JV/Consortium having different constituents, then the value of work as per their percentage participation in such JV/Consortium shall be considered.
- d) If the above work(s) i.e. "Similar Work" comprise other works, then client's certificate clearly indicating the amount of work done in respect of the "similar work" shall be furnished by the tenderer in support of work experience along-with their tender submissions.
- e) After opening of financial bids, the work experience credentials (work experience certificate along with other documents if any) of L-1 bidder shall be sent for verification and certification to the concerned client(s). **In case of any concealment or misrepresentation of facts, appropriate action(s) in accordance with Tender Conditions shall be taken as deemed fit.**

**B. Annual Turnover:** The average annual financial turnover of the bidder during the last five years ending 31<sup>st</sup> March of the previous Financial Years should be  $\geq$  ₹ 37.76 Lakh.

**Notes :**

- a) Financial data for latest last five audited financial years has to be submitted by the tenderer in **Annexure-2 of NIT** along with audited balance sheets. The financial data in the prescribed format shall be certified by Chartered Accountant with his stamp, signature and UDIN. In case any discrepancy in data is found between the balance sheet and the financial information submitted, the data as available in the balance sheet will be considered. In case audited balance sheet of the last financial year is not made available by the Tenderer, he has to submit an affidavit certifying that 'the balance sheet has actually not been audited so far'. In such a case the financial data of previous '4' audited financial years will be taken into consideration for evaluation. **If audited balance sheet of any year other than last year is not submitted, tender may be considered as non- responsive.**
- b) However, the tenderer including all substantial members of JV / Consortium should have been incorporated more than three years earlier from the last day of the previous month of tender submission end date. In this case, for such tenderer, the average annual turnover shall be arrived considering 'nil' turnover for the financial year(s) for which tenderer was not able to submit audited balance sheet on account of non-incorporation of tenderer. Such data shall be divided by 5 to work out the average annual turnover. In case balance sheet of the last year has not been audited so far, then data shall be divided by 4 to work out the average annual turnover.
- c) Any certification or document required to be provided by CA, must contain UDIN thereon and the particulars of certifications must be same as mentioned on document/certification and submitted to ICAI on its website which can be verified online. In case financial statements for any of the financial year is not required to be audited as per any relevant section of the Income Tax Act, then, same should be supported by C.A. (Chartered Accountant) certificate along with all the GST returns, ITR-3/4 (including all the forms) and form 26AS for each of the financial year not liable to be audited as per relevant section of the income tax Act.
- d) Where the work is undertaken by a group, only that portion of the contract which is undertaken by the concerned applicant/member should be indicated and the remaining done by the other members of the group be excluded. This is to be substantiated with documentary evidence.

**1.4.3** The tender submission of tenderers, who do not qualify the minimum eligibility criteria stipulated in the clauses 1.4.2 above, shall not be considered for further evaluation and therefore rejected. If the tenderer fails to meet the eligibility and qualification criteria, then further scrutiny of other technical parameters will not be done and Financial Proposals of such Tenderers shall not be opened. The mere fact that the tenderer is qualified as mentioned in sub clause 1.4.2 shall not imply that his bid shall automatically be accepted. The same should contain all technical data as required for consideration of tender prescribed

in the ITT. Technical proposals meeting the Technical requirement and found substantially responsive only will be qualified for opening of their Financial Proposal.

- 1.4.4** Detailed technical evaluation on QCBS method shall be carried out in accordance of Annexure 6 of ITT only for those bidders who have qualified in Minimum Eligibility Criteria as per para 1.4.2 above,

**1.5 The Tender documents consist of:**

Volume 1

- Notice Inviting Tender (including Annexures)
- Instructions to Tenderers (including Annexures)
- Form of Tender (including Appendices)

Volume 2

- General Conditions of Contracts
- Special Conditions of Contract (including Schedules)

Volume 3

- Terms of Reference (TOR)

Volume 4

- Bill of Quantity (BOQ) / Pricing Documents

- 1.6** The tenderers may obtain further information/clarification, if any, in respect of these tender documents from the office of Chief Engineer/Contract, Uttar Pradesh Metro Rail Corporation, Administrative Building, Vipin Khand, Gomti Nagar, Near Dr. Bhimrao Ambedkar Samajik Parivartan Sthal, Lucknow - 226010
- 1.7** All tenderers are hereby cautioned that tenders containing any material deviation or reservations as described in Clause. E4 of "Instructions to Tenderers" and/or minor deviation without quoting the cost of withdrawal shall be considered as non-responsive and is liable to be rejected.
- 1.8** The intending tenderers must be registered on e-tendering portal **<https://etenders.gov.in/eprocure/app>**. Those who are not registered on the e-tendering portal shall be required to get registered beforehand. After registration, the tenderer will get user id and password. On login, tenderer can participate in tendering process and can witness various activities of the process. Instructions for online bid submission is attached as **Annexure A** to NIT.
- 1.9** The authorized signatory of intending tenderer, as per Power of Attorney (POA), must have valid **Class-II or Class-III digital signature**. The tender document can only be downloaded or uploaded using **Class-II or Class-III digital signature**. However, the tenderer shall upload their tender on <https://etenders.gov.in/eprocure/app> using class-II or class-III digital signature of the authorized signatory only.
- 1.10** Tender submissions shall be done online on **<https://etenders.gov.in/eprocure/app>** after uploading the mandatory scanned documents towards cost of tender documents such as scanned copies of transaction of payment i.e. RTGS, NEFT & IMPS and scanned copy of Tender Security/ EMD and other documents as stated in the tender document. Instructions for on-line bid submission are furnished hereinafter.
- 1.11** Submission of Tenders shall be closed on e-tendering website of Employer at the date & time of submission prescribed in NIT after which no tender shall be accepted. It shall be the responsibility of the Tenderer / tenderer to ensure that his tender is submitted online on e-tendering website **<https://etenders.gov.in/eprocure/app>** before the deadline of submission. The Employer shall not be responsible for any delay, difficulties and/or inaccessibility of the downloading and/or uploading

facility from the e-procurement portal for any reason whatsoever.

- 1.12** Tenders shall be valid for a period of **180 days** (both days inclusive i.e. submission end date of tenders and the last date of period of validity of the tender) from the end date of submission of Tenders and shall be accompanied with a valid tender security/ EMD of the requisite amount as per clause C18.1 of ITT.
- 1.13** Employer reserves the right to accept or reject any or all proposals without assigning any reasons. No tenderer shall have any cause of action or claim against the Employer for rejection of his proposal.
- 1.14** Tenderers are requested to visit e-tendering portal <https://etenders.gov.in/eprocure/app> regularly for any Employer's issued clarifications, addendum, corrigendum and/or due date extensions.
- 1.15** Employer will award the Contract to the Tenderers whose Tender has been determined to be substantially responsive and compliant to the requirements contained in the Tender Documents and who has offered the Lowest Evaluated Tender Price. Variant bids are not allowed.
- 1.16** Any suit or application, arising out of any dispute or differences on account of this tender shall be filed in a competent court at Lucknow, Uttar Pradesh only and no other court or any other district of the country shall have any jurisdiction in the matter.
- 1.17** For any complaints, tenderers may contact CVO UPMRC at Administrative Building, Vipin Khand, Gombi Nagar, Near Dr. Bhimrao Ambedkar Samajik Parivartan Sthal, Lucknow-226010, Uttar Pradesh, India, 0522-2304015 E Mail- [gmfupmrcl@gmail.com](mailto:gmfupmrcl@gmail.com).

**Chief Engineer/Contract  
Uttar Pradesh Metro Rail Corporation**