

Lucknow Metro Rail Corporation Ltd
Administrative Office, VipinKhand, Gomti Nagar, Lucknow-226010

Notice for Invitation of Bids

1.1 GENERAL

1.1.1 Name of Work:

Lucknow Metro Rail Corporation Ltd. (LMRC), a special purpose vehicle company formed under the Companies Act, 1956 for the implementation of Lucknow Metro project, intends to appoint a “**Consultant**” for preparing **Transit Oriented Development (TOD) Action Plan and DPR for Feeder Service System for Lucknow Metro Rail Project Phase 1A (North – South corridor)**.

The detailed scope of services is provided in the Terms of Reference specified at Schedule 1 of the bid document.

1.1.2 Key details :-

Sr. No.	Event Description	Date
1	Sale of Tender documents	01.07.2015 to 21.07.2015
2	Last date for receiving queries/clarifications on RFP document	27.07.2015
3	Pre-Proposal Conference	31.07.2015
4	Latest date for issuance of clarification (s) and/or amendment(s) to RFP by LMRC	04.08.2015
5	Proposal Due Date (PDD)	25.08.2015 up to 1500 hrs
6	Opening of Technical Proposals	25.08.2015 at 1530 hrs
7	Presentation on understanding of the scope of work along with methodology of implementation	Will be intimated
8	Opening of financial proposal (FPD) of short listed applicants	Will be intimated
9	Signing of Agreement	Within 30 days of LOA
10	Validity of Proposal	180 days from PDD
11	Authority and place for purchase of tender documents, seeking clarifications and submission of completed tender documents	Chief Project Manager-2, Lucknow Metro Rail Corporation Ltd., Administrative Office, VipinKhand, Gomti Nagar Lucknow, Uttar Pradesh 226010 Phone: 0522-2304014 Email: cpm2.lmrc@gmail.com

1.1.3 Conditions of Eligibility of Applicants:

To be eligible for evaluation of its Proposal, the Applicant shall fulfill the following:

1.1.3.1 Applicants must read carefully the minimum conditions of eligibility (the **Conditions of Eligibility**) provided herein. Proposals of only those Applicants who satisfy the Conditions of Eligibility will be considered for evaluation.

i) The tenders for this contract will be considered only from those tenderers (proprietorship firms, partnerships firms, companies, corporations, consortia or joint ventures) who meet requisite eligibility criteria. In the case of a JV or Consortium, all members of the Group shall be jointly and severally liable for the performance of whole contract.

ii) (a) A non-Indian firm is permitted to tender only in a joint venture or consortium arrangement with any other Indian firm having minimum participation interest of 26% or their wholly owned Indian subsidiary registered in India under Companies Act-1956 with minimum 26% participation.

(b) A tenderer shall submit only one bid in the same tendering process, either individually as a tenderer or as a partner of a JV. A tenderer who submits or participates in, more than one bid will cause all of the proposals in which the tenderer has participated to be disqualified. No tenderer can be a subcontractor while submitting a bid individually or as a partner of a JV in the same bidding process. A tenderer, if acting in the capacity of subcontractor in any bid, may participate in more than one bid, but only in that capacity.

iii) A firm, who has purchased the tender document in their name, can submit the tender either as individual firm or in joint venture/Consortium. However, the lead partner in case of JV shall be one who has the requisite experience .

NON SUBSTANTIAL PARTNERS IN CASE OF JV/CONSORTIUM

- a. Lead partner must have a minimum of 26% participation in the JV/Consortium.
- b. Partners having less than 26% participation will be termed as non-substantial partner and will not be considered for evaluation which means that their financial soundness and work experience shall not be considered for evaluation of JV/Consortium.
- c. In case of JV/Consortium, change in constitution or percentage participation shall not be permitted at any stage after their submission of application otherwise the applicant shall be treated as non-responsive.

1.1.3.2 **Minimum Eligibility Criteria:** To be eligible for evaluation of its Proposal, the Applicant shall fulfil the following:

(A) **Technical Capacity:** The Applicant shall meet all of the following technical criteria:

1. The Applicant should be a Consultant of national/ international repute with a proven track record of working on similar assignments.

2. The Applicant should have an experience of working in India for a minimum of 5 years. In case of a consortium, this criterion has to be fulfilled by the Lead Member of the Consortium.
3. The Applicant should have completed at least one study on "Transit Oriented Development" for Metro Rail/ Railway bodies/ High Density Corridors.
4. The Applicant should have successfully completed preparation of Detailed Project Report (DPR) of at least one Feeder Service System.

The Applicant should provide relevant documentary proof from the Competent Authority in support of the above mentioned work experience. For completed projects, the Consultant shall either submit the Completion Certificate from the Competent Authority or a certificate from CA/ Statutory Auditor confirming that entire fee due from the project has been received.

(B) **Financial Capacity:** The tenderers will be qualified only if they have minimum financial capabilities as below:

- (i) **Liquidity:** It is necessary that the firm can withstand cash flow that the contract will require until payments received from the Employer. Liquidity therefore becomes an important consideration.

This shall be seen from the balance sheets and/or from the banking reference. Net current assets and/or documents including banking reference, should show that the applicant has access to or has available liquid assets, lines of credit and other financial means to meet cash flow of **Rs. 25 lacs** for this contract, net of applicant's commitments for other Contracts. Banking reference should contain in clear terms the amount that bank will be in a position to lend for this work to the applicant/member of the Joint Venture/Consortium. In case the Net Current Assets (as seen from the Balance Sheets) are negative, only the Banking references will be considered. Otherwise the aggregate of the Net Current Assets and submitted Banking references will be considered for working out the Liquidity.

The banking reference should be from a Scheduled Bank in India or (in case of foreign parties) from an international bank of repute acceptable to LMRC as per standard performa provided in ITT as Annexure-9 and it should not be more than 3 months old as on date of submission of bids.

In Case of JV- Requirement of liquidity is to be distributed between members as per their percentage participation and every member should satisfy the minimum requirement.

Example: Let member-1 has percentage participation=M and member-2 has percentage participation=N. If minimum liquidity required is =W then

liquidity of member-1 $\times \frac{W}{M}$ and

100

liquidity of member-2 $\times \frac{W}{N}$.

100

- (II) **Profitability:** Profit before Tax should be Positive in at least 2(two) year, out of the last five audited financial years.

In Case of JV: The profitability of only lead member shall be evaluated.

- (III) **T3 - Net Worth:** Net Worth of tenderer during last audited financial year should be positive

In Case of JV- Net worth will be based on the percentage participation of each Member.

Example: Let Member-1 has percentage participation = M and Member-2 has =N. Let the Net worth of Member-1 is A and that of Member-2 is B, then the Net worth of JV will be

$$= \frac{AM+BN}{100}$$

100

- (IV) **T4 - Annual Turnover:** The average annual turnover from professional fees of last five financial years should be \geq Rs. 137 lacs.

The average annual turnover of JV will be based on percentage participation of each member.

Example: Let Member-1 has percentage participation = M and Member - 2 has =N. Let the average annual turnover of Member-1 is $\text{₹}A\text{Ø}$ and that of Member-2 is $\text{₹}B\text{Ø}$ then the average annual turnover of JV will be

$$= \frac{AM+BN}{100}$$

100

Notes :

- Financial data for latest last five audited financial years has to be submitted by the tenderer along with audited balance sheets. The financial data in the prescribed format (as per Annexure 8) shall be certified by Chartered Accountant with his stamp and signature in original. In case audited balance sheet of the last financial year is not made available by the bidder, he has to submit an affidavit certifying that the balance sheet has actually not been audited so far. In such a case the financial data of previous 4Ø audited financial years will be taken into consideration for evaluation. If audited balance sheet of any year other than the last year is not submitted, the tender will be considered as non-responsive.

(C) **Availability of Key Personnel:** The Applicant shall offer and make available all Key Personnel meeting the requirements specified in sub-clause (D) below.

(D) **Conditions of Eligibility for Key Personnel:** Each of the Key Personnel must fulfil the Conditions of Eligibility specified below:

Sr. No.	Key Personnel	Educational Qualification	Length of Professional Service	Experience on Eligible Assignments
A	Team Leader	Post Graduate Architect/Urban Planner/ Transport Planner/Urban Designer	20 years	He/She should have led the consultancy team in at least 3 assignments in public transit sector or transit oriented development.
B	Deputy Team Leader/ Project Manager	Graduate in Civil Engineering/ Architecture/Masters in Urban/ Regional Planning/ Traffic Engineering/Transportation Engineering/Planning	15 years	He/She should have a sound understanding of local byelaws and procedures. He should have been involved in a lead position at least in 1 similar project in India.
C	Urban Planner	Masters in Urban Planning	10 years	He/She should have prepared the Concept plans for TOD development at least in 1 similar project.
D	Urban Designer	Graduate Architecture (B. Arch) with Master of Architecture (Urban Design)	5 years	He/She should have prepared the architectural drawings for TOD Studies at least in 1 similar project..
E	Transport Planner	Masters in Transport Planning	5 years	He/She should have prepared feeder service or any other transport system plan at least in 1 similar project.
F	Real Estate Expert	Graduate in Civil Engineering/MBA	10 years	He/She should have conducted feasibility studies of real estate

				projects.
G	Railways Expert	Graduate in Civil Engineering	25 years	He/ She should have acted as an advisor for at least one urban railways TOD project.

*Similar Projects imply any one of the projects mentioned in sub-clauses 3, 4 of clause 1.1.3.2 (A).

1.1.4 **Brief Description of Selection Criteria**

The LMRC has adopted a single stage two packet selection process in evaluating the Proposals comprising technical and financial bids to be submitted in sealed envelopes separately on Proposal Due Date. A technical evaluation will be carried out as specified in Clause 3.1 of bid document. Based on the technical evaluation, a list of short-listed applicants shall be prepared as specified in Clause 3.2 of bid document. Subsequently, a financial evaluation will be carried out as specified in Clause 3.3 of bid document. Proposals will finally be ranked according to their combined technical and financial scores (weightage of technical and financial scores shall be in the ratio of 70:30) as specified in Clause 3.4 of bid document. The first ranked Applicant shall be selected for negotiation (the "**Selected Applicant**") while the second ranked Applicant will be kept in reserve.

1.1.5 **Bid Security:**

The Applicant shall furnish as part of its Proposal, a bid security of Rs.1 (One) lac in the form of a Demand Draft issued by one of the Nationalised/ Scheduled Banks in India in favour of the '**Lucknow Metro Rail Corporation Limited**' payable at **Lucknow**(the "**Bid Security**"), returnable not later than 30 (thirty) days from FPD except in case of the two highest ranked Applicants..Any Bid not accompanied by the Bid Security shall be rejected by the **LMRC** as non responsive.

1.1.6 **Performance Security:**

The Performance Security shall be for 10% of the Contract Price from a Scheduled commercial Bank (including Scheduled Commercial Foreign Banks) in India in the currency in which the Contract Price is payable; to be appropriated against breach of the Agreement or for recovery of liquidated damages. The Performance Security shall be furnished to the Employer within 30 (thirty) days of receipt of the Letter of Acceptance.

1.1.7 **Content of Bid Document :**

The bid document comprises the Disclaimer set forth hereinabove, the contents as listed below and will additionally include any Addendum / Amendment issued in accordance with Clause 2.10 of the bid document:

Request for Proposal

- 1 Introduction
- 2 Instructions to Applicants
- 3 Criteria for Evaluation
- 4 Fraud and corrupt practices
- 5 Pre-Proposal Conference
- 6 Miscellaneous

Schedules

1. Terms of Reference

2. Form of Agreement

- Annex-1 : Terms of Reference
- Annex-2 : Deployment of Personnel
- Annex-3 : Estimate of Personnel Costs
- Annex-4 : Approved Sub-Consultant(s)
- Annex-5 : Cost of Services
- Annex-6 : Payment Schedule
- Annex-7 : Bank Guarantee for Performance Security
- Annex-8 : Financial Data
- Annex-9 : Proforma for banking reference for liquidity

3. Guidance Note on Conflict of Interest

Appendices

Appendix-I: Technical Proposal

- Form 1 : Letter of Proposal
- Form 2 : Particulars of the Applicant
- Form 3 : Statement of Legal Capacity
- Form 4 : Power of Attorney
- Form 5 : Financial Capacity of Applicant
- Form 6 : Particulars of Key Personnel
- Form 7 : Proposed Methodology and Work Plan
- Form 8 : Eligible Assignments of Applicant
- Form 9 : CV of Key Personnel
- Form 10 : Deployment of Personnel
- Form 11 : Survey and Field Investigations
- Form 12 : Proposal for Sub-Consultant(s)
- Form 13 : Joint Bidding Agreement

Appendix-II: Financial Proposal

- Form 1 : Financial Proposal Submission Form
- Form 2 : Summary of Costs
- Form 3 : Breakdown of Costs by Activity
- Form 4 : Breakdown of Remuneration
- Form 5 : Breakdown of Re-imbursable Expenses

- 1.1.8 Late bids (received after date and time of submission of bids) shall not be accepted under any circumstances.
- 1.1.9 Bids shall be valid for a period of 180 **days** from the proposal due date (PDD) and shall be accompanied with a bid security of the requisite amount.
- 1.1.10 Notwithstanding anything contained in the bid document, the LMRC reserves the right to accept or reject any Proposal and to annul the Selection Process and reject all Proposals, at any time without any liability or any obligation for such acceptance, rejection or annulment, and without assigning any reasons thereof.

Director (Works & Infrastructure)
For Managing Director
Lucknow Metro Rail Corporation limited