

Design and Construction of Tunnel from start of underground ramp (near Charbagh Metro Station) to end of underground ramp (near K.D.Singh Babu Stadium metro station) including three underground metro stations (viz. Hussainganj, Sachivalaya and Hazratganj) including Architectural finishes , E& M, TVS, ECS etc.on North – South Corridor of Lucknow MRTS, Phase 1 A Project at Lucknow, Uttar Pradesh, India.

NOTICE INVITING TENDER (NIT)

1.1 GENERAL

1.1.1 Name of Work:

Lucknow Metro Rail Corporation (LMRC) Ltd. invites Open Tenders on international competitive basis from eligible applicants from all countries and all areas, who fulfil *qualification criteria* as stipulated in clause 1.1.4 of NIT, for the work, **LKCC-06 “Contract-LKCC-06 -Design and Construction of Tunnel from start of underground ramp (near Charbagh Metro Station) to end of underground ramp (near K.D.Singh Babu Stadium metro station) including three underground metro stations (viz. Hussainganj, Sachivalaya and Hazratganj) including Architectural finishes , E& M, TVS, ECS etc. on North – South Corridor of Lucknow MRTS, Phase 1 A Project at Lucknow, Uttar Pradesh, India.**

The brief scope of the work and site information is provided in ITT clause A1 & Employer Requirements (Volume –3)

1.1.2 Key details :

Approximate cost of work	INR 1280 Crores
Tender Security amount	INR 12.80 Crores
Completion period of the Work	36 months
Tender documents on sale	From 30.10.2015 to 20.11.2015 (between 09:30 Hrs to 17:30 Hrs) on working days
Cost of Tender documents	21000/- (inclusive of 5% UPVAT) (Demand Draft on a scheduled commercial bank based in India in favour of “Lucknow Metro Rail Corporation Ltd”) payable at Lucknow.
Last date of Seeking Clarification	23.11.2015
Pre-bid Meeting	30.11.2015 @ 1500 Hrs
Last date of issuing addendum	14.12.2015
Date &time of Submission of Tender	25.01.2016 @ 15:00 Hrs.
Date & time of opening of Tender	25.01.2016 @ 15:05 Hrs.
Authority and place for purchase of tender documents, seeking clarifications and submission of completed tender documents	Chief Project Manager- II, Lucknow Metro Rail Corporation, Administrative Building, Vipin Khand, Gomti Nagar, Near Dr.Bhimrao Ambedkar Samajik Parivartan Sthal, Lucknow-226010, Uttar Pradesh, India

1.1.3 LMRC is receiving Loan from bilateral/multilateral funding agency/agencies hereinafter called “Funding Agency” toward the Part cost of North-South Corridor Phase-1 A Project of Lucknow Metro, and intends to apply a portion of the proceeds of the loan to payments under this contract. Disbursement of the loan will be subject, in all respects, to the terms and conditions of the Loan Agreement, including the disbursement procedures and the applicable guidelines of Funding Agency. No party other than LMRC shall derive any rights from the Loan Agreement or have any claim to loan proceeds. The above Loan Agreement will cover only a part of the project cost. The remaining portion shall be financed through equity participation by the Government of India and Government of Uttar Pradesh and other appropriate means.

1.1.4 QUALIFICATION CRITERIA:

1.1.4.1 **Eligible Applicants :** Please refer Clause A3 of ITT

1.1.4.2 **Minimum Eligibility Criteria:**

A. Work Experience: The tenderers will be qualified only if they satisfy the criteria **as given in para A.1, A.2 and A.3** below (during last Seven years ending 31.12.2015):

A.1 :Work experience of Shield tunneling and construction of u/g station by cut & cover method :

(i) At least one single work of value Rs. 806.00 Crores or more which shall include minimum of 3 km of Tunnel length by shield TBM in urban environment (In case of twin tunnel each tunnel shall be counted as a separate Tunnel for calculation of length of tunnel) with finished internal dia of more than 5.0 mtr (including completed portion of ongoing works) along with at least 3 underground stations in urban environment (each having plan area of at least 4500 sqm) using cut & cover method.

In case this work does not include any underground station in urban environment (having plan area of at least 4500 sqm) by cut & cover method or the number of such stations included in the work is less than 3, the tenderer must have executed other work/s of underground stations in urban environment (each having plan area of at least 4500 sqm) using cut & cover method so as to have a total experience of completion of at least 3 underground stations in urban environment (each having plan area of at least 4500 sqm) using cut & cover method.

In case of JV/Consortium the work of tunnel & stations may be by different partners also.

If the above work of value Rs. 806.00 Crores or more has been done by the foreign partner of JV and the work was done in the country of the foreign partner then in addition to this, the foreign partner must have done at least one relevant work equal to value 403.00 Crores or more outside the country of the foreign partner.

OR

(ii) Two different works each of value Rs. 504.00 Crores or more. Both works together shall include minimum of 3.0 km of Tunnel length by shield TBM in urban environment (In case of twin tunnel each tunnel shall be counted as a separate Tunnel for calculation of length of tunnel) with finished internal dia of more than 5.0 mtr (including completed portion of ongoing works) along with at least 3 underground stations in urban environment (each having plan area of at least 4500 sqm) using cut & cover method.

In case these works do not include any underground station in urban environment (having plan area of at least 4500 sqm) by cut & cover method or the number of such stations included in the works is less than 3, the tenderer must have executed other work/s of underground stations in urban environment (each having plan area of at least 4500 sqm) using cut & cover method so as to have a total experience of completion of at least 3 underground stations in urban environment (each having plan area of at least 4500 sqm) using cut & cover method.

In case of JV/Consortium the work of tunnel & station may be by different partners also.

If both the works of value Rs. 504.00 Crores or more have been done by the foreign partner of the JV, then either at least one of these two works should have been done outside the country of the foreign partner and if not, then in addition to this, the foreign partner must have done at least one relevant work equal to value Rs. 403.00 Crores or more outside the country of the foreign partner.

OR

(iii) Three different works each of value Rs. 403.00 Crores or more. All three works together shall include minimum of 3.0 km of Tunnel length by shield TBM in urban environment (In case of twin tunnel each tunnel shall be counted as a separate Tunnel for calculation of length of tunnel) with finished internal dia of more than 5.0 mtr (including completed portion of ongoing works) along with at least 3 underground stations in urban environment (each having plan area of at least 4500 sqm) using cut & cover method.

In case these works do not include any underground station in urban environment (having plan area of at least 4500 sqm) by cut & cover method or the number of such stations included in the works is less than 3, the tenderer must have executed other work/s of underground stations in urban environment (each having plan area of at least 4500 sqm) using cut & cover method so as to have a total experience of completion of at least 3 underground stations in urban environment (each having plan area of at least 4500 sqm) using cut & cover method.

In case of JV/Consortium the work of tunnel & stations may be by different partners also.

If all the three works of value Rs. 403.00 Crores or more or two out of the three works have been done by the foreign partner of the JV, then either at least one of these three works should have been done outside the country of the foreign partner.

A.2 : Work experience of E&M Works (Design Verification, Detail Engineering, Supply, Installation, Testing and Commissioning of Electrical and Mechanical system including Fire and Hydraulic system) for U/G Metro Station/s

(i) At least one single work of value Rs. 109.00 Crores or more which shall include Detailed Engineering, Manufacture ,Supply ,Installation ,Testing and commissioning of Electrical and Mechanical system including Fire and Hydraulic system for U/G Metro Station/s.

If the above work of value Rs. 109.00 Crores or more has been done by the foreign partner of JV and the work was done in the country of the foreign partner then in addition to this, the foreign partner must have done at least one relevant work equal to value Rs. 54.5 Crores or more outside the country of the foreign partner.

OR

(ii) Two different works each of value Rs. 68.00 Crores or more which shall include Detailed Engineering, Manufacture ,Supply ,Installation ,Testing and commissioning of Electrical and Mechanical system including Fire and Hydraulic system for U/G Metro Station/s.

If both the works of value Rs. 68.00 crores or more have been done by the foreign partner of JV , then either at least one of these two works should have been done outside the country of the foreign partner and if not, then in addition to this, the foreign partner must have done at least one relevant work equal to value Rs. 54.5 Crores or more outside the country of the foreign partner.

OR

(iii) Three different works each of value Rs. 54.50 crores or more which shall include Detailed Engineering, Manufacture ,Supply ,Installation ,Testing and commissioning of Electrical and Mechanical system including Fire and Hydraulic system for U/G Metro Station/s.

If all the three works of value Rs. 54.50 crores or more or two out of the three works have been done by the foreign partner of JV, then either at least one of these three works should have been done outside the country of the foreign partner .

A.3 Work experience ECS & TVS work (Design verification, Detail Engineering, Supply, Installation, Testing and Commissioning of Environment Control System (ECS) And Tunnel Ventilation System (TVS)) for U/G Metro Station/s

(i) At least one single work of value Rs. 110.00 Crores or more which shall include Design verification, Detail Engineering, Supply, Installation, Testing and Commissioning of Environment Control System (ECS) And Tunnel Ventilation System (TVS) for U/G Metro Station/s.

If the above work of value Rs. 110.00 Crores or more has been done by the foreign partner of JV and the work was done in the country of the foreign partner then in addition to this, the foreign partner must have done at least one relevant work equal to value Rs. 55.0 Crores or more outside the country of the foreign partner.

OR

(ii) Two different works each of value Rs. 68.50 Crores or more which shall include Design verification, Detail Engineering, Supply, Installation, Testing and Commissioning of Environment Control System (ECS) And Tunnel Ventilation System (TVS) for U/G Metro Station/s.

If both the works of value Rs. 68.50 crores or more have been done by the foreign partner of JV , then either at least one of these two works should have been done outside the country of the foreign partner and if not, then in addition to this, the foreign partner must have done at least one relevant work equal to value Rs. 55.0 Crores or more outside the country of the foreign partner.

OR

(iii) Three different works each of value Rs. 55.0 crores or more which shall include Design verification, Detail Engineering, Supply, Installation, Testing and Commissioning of Environment Control System (ECS) And Tunnel Ventilation System (TVS) for U/G Metro Station/s.

If all the three works of value Rs. 55.0 crores or more or two out of the three works have been done by the foreign partner of JV, then either at least one of these three works should have been done outside the country of the foreign partner .

Notes :

- The tenderer shall submit details of work executed by them in the Performa of **Annexure-1** for the works to be considered for qualification of work experience criteria. Documentary proof such as completion certificates from client clearly indicating the nature/scope of work, actual completion cost and actual date of completion for such work should be submitted. ***The offers submitted without this documentary proof shall not be evaluated.*** In case the work is executed for private

client, copy of work order, bill of quantities, bill wise details of payment received certified by C.A., T.D.S certificates for all payments received and copy of final/last bill paid by client shall be submitted.

- Value of successfully completed portion of any ongoing work up to 31.12.2015 will also be considered for qualification of work experience criteria.
- For completed works, value of work done shall be updated to 31.12.2015 price level assuming 5% inflation for Indian Rupees every year and 2% for foreign currency portions per year. **Selling rate of exchange at the close of business of the State Bank of India on the day twenty eight days before the latest date of Tender Submittal shall be considered for calculating equivalent value in INR.**
- In case of joint venture / Consortium, full value the work, if done by the same joint venture or any of members of the Joint Venture shall be considered. However, if the work done by them in any other JV/consortium, value of work as per his percentage participation in that JV shall be considered.
- **Criterion for work experience for “Shield tunneling and construction of u/g station by cut & cover method” (Para A.1) shall be satisfied by a single entity/JV/ Consortium. Criterion for work experience for “E&M works” (Para A.2) shall be satisfied by a single entity/ JV/ Consortium or a subcontractor. Similarly criterion for work experience for “ECS & TVS work” (Para A.3) shall be satisfied by a single entity/ JV/ Consortium or a subcontractor. Subcontractor/s for “E&M works” and “ECS & TVS work” may be the same or different. A JV partner can participate in only one of the bidding JV/Consortium while a subcontractor can participate with different/ multiple Tenderers.**
- The Joint venture/MOU/Consortium agreement must contain a clause stating “All the partners are Jointly and severally liable to LMRC.”

B. - Financial Standing: The tenderers will be qualified only if they have minimum financial capabilities as below:

(i) T1 - Liquidity

- (a)** The tenderer must have liquidity equal to cash flow requirement of value Rs. 47.95 crores for the contract.
- (b)** The liquidity shall be ascertained from Net Working Capital {Current Assets – (current liabilities + provisions)} as per latest audited balance sheet and/or from the Banking reference(s).
- (c)** Banking reference(s) should contain in clear terms the amount that the Bank will be in a position to lend for this work to the applicant/member of the Joint Venture/Consortium. In case the Net Working Capital (as seen from the Balance Sheet) is negative, only the Banking reference(s) will be considered, otherwise the aggregate of the Net Working Capital and submitted Banking reference(s) will be considered for working out the Liquidity.
- (d)** The Banking references should be from a Scheduled Bank in India or from an International Bank of repute (in case of foreign vendors) and it should not be more than 3 months old as on the date of submission of bids.
- (e)** In Case of JV: - Requirement of working capital is to be distributed between members as per their percentage participation and every member should satisfy the requirement for his portion.

Example: Let member-1 has percentage participation=M and member-2 has percentage participation=N. If minimum working capital required is 'W' then working capital of member-1 $\geq \frac{W M}{100}$ and working capital of member-2 $\geq \frac{W N}{100}$.

(f) In case the applicant is a Joint Venture/Consortium and if Banking Reference is issued by the bank in favour of the Joint Venture/Consortium for this contract, then it will be considered for the tenderer and if the Banking reference(s) is issued in favour of any member of JV/Consortium it will be considered only for that member.

(ii) **T2 - Profitability:** Profit before Tax should be Positive in at least 2(two) years, out of the last five audited financial years

In Case of JV- The profitability of only lead member shall be evaluated.

(iii) **T3 - Net Worth:** Net Worth of tenderer must be Rs. 67.14 Crores in the latest audited financial balance sheets.

In Case of JV, the algebraic sum total of Net Worths of all the members as per the latest audited balance sheets shall be considered the Net Worth of the tenderer.

(iv) **T4 - Annual Turnover:** The average annual turnover from construction in last five financial years should be ≥ 268.54 Crores.

In Case of JV – the tenderer must fulfil the following conditions:

1. Each partner to have minimum 25% of minimum requirement.
2. At least one partner to have 40% of minimum requirement.
3. All partners put together should meet the minimum requirement as per their percentage participation.

Example: Let Member-1 has percentage participation = M and Member - 2 has =N. Let the average annual turnover of Member-1 is 'A' and that of Member-2 is 'B', then the average annual turnover of JV will be
$$\frac{AM+BN}{100}$$

Notes:

- Financial data for latest last five audited financial years has to be submitted by the tenderer in **Annexure-2** along with audited balance sheets. The financial data in the prescribed format shall be certified by Chartered Accountant with his stamp and signature. In case audited balance sheet of the last financial year is not made available by the bidder, he has to submit an affidavit certifying that 'the balance sheet has actually not been audited so far'. In such a case the financial data of previous '4' audited financial years will be taken into consideration for evaluation. **If audited balance sheet of any other year than the last year is not submitted, the tender will be considered as non-responsive.**

- Where a work is undertaken by a group, only that portion of the contract which is undertaken by the concerned applicant/member should be indicated and the remaining done by the other members of the group be excluded. This is to be substantiated with documentary evidence.

1.1.4.3 Bid Capacity Criteria :

Bid Capacity: The tenderers will be qualified only if their available bid capacity is more than the approximate cost of work as per NIT. Available bid capacity will be calculated based on the following formula:

$$\text{Available Bid Capacity} = 2 \times A \times N - B$$

Where,

A = Maximum of the value of construction works executed in any one year during the last five financial years (updated to 31.12.2015 price level assuming 5% inflation for Indian Rupees every year and 2% for foreign currency portions per year).

N = No. of years prescribed for completion of the work

B = Value of existing commitments (as on 31.12.2015 for on-going construction works during period of 36 months w.e.f. 01.01.2016).

Notes:

- Financial data for latest last five financial years has to be submitted by the tenderer in **Annexure-3A** along with audited financial statements. The financial data in the prescribed format shall be certified by the Chartered Accountant with his stamp and signature in original.
- Value of existing commitments for on-going construction works during period of 36 months w.e.f. 01.01.2016 has to be submitted by the tenderer in **Annexure-3B**. These data shall be certified by the Chartered Accountant with his stamp and signature.
- In the case of a group, the above formula will be applied to each member to the extent of his proposed participation in the execution of the work. If the proposed % is not provided, equal participation will be assumed.

1.1.4.4 The tender submission of tenderers, who do not qualify the minimum eligibility criteria & bid capacity criteria stipulated in the clauses 1.1.4.2 to 1.1.4.3 above, shall not be considered for further evaluation and therefore rejected. The mere fact that the tenderer is qualified as mentioned in sub clause 1.1.4.2 to 1.1.4.3 shall not imply that his bid shall automatically be accepted. The same should contain all technical data as required for consideration of tender prescribed in the ITT.

1.1.4.5 Minimum Key Staff requirement –

A. Civil Works including Tunnel , Underground Stations, ramps, architectural finishes etc.

- a) **Project Manager** – having minimum 15 years of total experience out of which 10 years should be in projects of similar nature.

Design and Construction of Tunnel from start of underground ramp (near Charbagh Metro Station) to end of underground ramp (near K.D.Singh Babu Stadium metro station) including three underground metro stations (viz. Hussainganj, Sachivalaya and Hazratganj) including Architectural finishes , E& M, TVS, ECS etc.on North – South Corridor of Lucknow MRTS, Phase 1 A Project at Lucknow, Uttar Pradesh, India.

b)Deputy Project Manager (DPM) Station - having minimum 10 years of total experience out of which 8 years should be in projects of Metro Construction.

c)Deputy Project Manager (DPM) Tunnel - having minimum 10years of total experience out of which 8 years should be in tunnelling.

d)Station In-Charge (one for each station) - having minimum 8 years of total experience out of which 5 years should be in projects of Station Construction.

e)Chief Safety Manager – As per the qualification and experience given in General Instruction – 2 of LMRC SHE manual.

f) Chief Quality Manager – having minimum 8 years of total experience out of which 5 years should be in projects of Metro Construction

B. For E&M and ECS & TVS, Please refer Appendix G Annexure 4 of ITT.

NOTE – Please submit the CV of above Key Personals as per Annexure – 5.

1.1.5 The Tender documents consist of :

Volume 1

Notice Inviting Tender
Instructions to Tenderers (including Annexures)
Form of Tender (including Appendices)

Volume 2

General Conditions of Contracts
Special Conditions of Contract (including Schedules) : Part 1: for Civil works (including Tunnel, Underground Stations, Cut & Cover, Ramps, Architectural Finishes etc.)
Special Conditions of Contract (including Schedules): Part 2: for E&M and ECS & **TVS etc.**

Volume 3

Employer's Requirements – General (Part 1: Civil, Part 2: E&M, TVS, ECS etc.)
Employer's Requirements – Functional (Part 1: Civil, Part 2: E&M, TVS, ECS etc.)
Employer's Requirements – Design (Civil)
Employer's Requirements – Construction (Civil)
Employer's Requirements – Appendices

Volume 4

Outline Design Specifications (Civil)

Volume 5

Outline Construction Specifications (Civil)

Volume 6

Tender Drawings (Part 1: Civil, Part 2: E&M, TVS, ECS etc.)

Volume 7

Bill of Quantities

Volume 8

Condition of Contract on Safety, Health & Environment (SHE) Ver 1.2

Volume 9

Reference Document - Geotechnical Report

- 1.1.6 The contract shall be governed by the documents listed in para 1.1.5 above along with latest edition of CPWD Specification, IRS Specifications & MORTH Specifications. These may be purchased separately from the market.
- 1.1.7 The tenderers may obtain further information/ clarification, if any, in respect of these tender documents from the office of Chief Project Manager-II, Lucknow Metro Rail Corporation, Administrative Building, Vipin Khand, Gomti Nagar, Near Dr. Bhimrao Ambedkar Samajik Parivartan Sthal, Lucknow-226010.
- 1.1.8 All tenderers are hereby cautioned that tenders containing any material deviation or reservations as described in Clause **E4** of “Instructions to Tenderers” and/or minor deviation without quoting the cost of withdrawal shall be considered as non-responsive and is liable to be rejected.
- 1.1.9 Late tenders (received after date and time of submission of bid) shall not be accepted under any circumstances.
- 1.1.10 Tenders shall be valid for a period of 120 days from the date of submission of Tenders and shall be accompanied with a tender security of the requisite amount in the form of a Bank Guarantee (as per Annexure-7 of ITT) from Scheduled Commercial Bank in India.
- 1.1.11 LMRC reserves the right to accept or reject any or all proposals without assigning any reasons. No tenderer shall have any cause of action or claim against the LMRC for rejection of his proposal.
- 1.1.12 Employer will award the Contract to the Tenderers whose Tender has been determined to be substantially responsive and compliant to the requirements contained in the Tender Documents and who has offered the Lowest Evaluated Tender Price. Variant bids are not allowed

Chief Project Manager-II
Lucknow Metro Rail Corporation

Design and Construction of Tunnel from start of underground ramp (near Charbagh Metro Station) to end of underground ramp (near K.D.Singh Babu Stadium metro station) including three underground metro stations (viz. Hussainganj, Sachivalaya and Hazratganj) including Architectural finishes , E& M, TVS, ECS etc.on North – South Corridor of Lucknow MRTS, Phase 1 A Project at Lucknow, Uttar Pradesh, India.

ANNEXURE-1

WORK EXPERIENCE

Annexure 1

Applicant’s legal name Date.....

Group Member’s legal name..... Pageof pages

Details of works executed by tenderer/s to be considered for qualification of work experience criteria.		
Details to be submitted separately for individual works claimed for work experience (viz. Tunnels, Underground Stations, “E&M”, “ECS & TVS”) as per para 1.1.4.2 A (at the price level on last day of month previous to the month the tender submitted :considering escalation as per Clause 1.1.4.2 of Notes Bullet no.3)		
Similar Contract Number/s _____ of _____ required	Information	
Contract Identification		
Award date		
Completion date		
Employer’s Name		
Employer’s Address:		
Telephone / Fax number: E Mail		
Role in Contract (Individual/JV-Consortium member)	Individual	JV Member
Completion Cost ³	Currency (as stated in Clients Certificate)	In equivalent INR at 31.12.2015 price level
If JV member specify percentage participation in contract & amount (Please refer Note-1)	% participation	In equivalent INR at 31.12.2015 price level
Quantum of Similar work in support of clause no. 1.1.4.2 A		In case of JV/Consortium, actual Work / length executed by the Applicant (duly substantiated with Client Certificate)

NOTE: 1. Only the value of contract as executed by the applicant/member in his own name should be indicated. Where a work is undertaken by a group, only that portion of the contract which is undertaken by the concerned applicant/member should be indicated and the remaining done by the other members of the group be excluded. This is to be substantiated with documentary evidence.

2. Separate sheet for each work along with Clients Certificate to be submitted.

Design and Construction of Tunnel from start of underground ramp (near Charbagh Metro Station) to end of underground ramp (near K.D.Singh Babu Stadium metro station) including three underground metro stations (viz. Hussainganj, Sachivalaya and Hazratganj) including Architectural finishes , E& M, TVS, ECS etc.on North – South Corridor of Lucknow MRTS, Phase 1 A Project at Lucknow, Uttar Pradesh, India.

ANNEXURE - 1A: Summary of Information provided in Annexure-1

Applicant's/Tenderer legal name **Date.....**

Member's legal name..... **Pageof pages**
Details of experience - (i) TBM Tunnels & U/G Stations, (ii) E&M and (iii) TVS & ECS (Tick the correct one)

Name of Applicant (each member in case of group)	Total Number of works as per clause 1.1.4.2 A at the price level of 31.12.2015	No. of contracts delayed, i.e., completed beyond the original date of completion
Total cost of eligible work experience		

NOTE:-

1. In case the work was done as JV/Consortium, only the value of work done by the applicant as per his Percentage participation must be given.
2. Reasons of delay whether on contractors account or on account of Employer in each applicable case need to be enclosed separately.
3. Separate sheets to be submitted for (i) TBM Tunnel & Underground stations, (ii) E&M and (iii) TVS & ECS

Design and Construction of Tunnel from start of underground ramp (near Charbagh Metro Station) to end of underground ramp (near K.D.Singh Babu Stadium metro station) including three underground metro stations (viz. Hussainganj, Sachivalaya and Hazratganj) including Architectural finishes , E& M, TVS, ECS etc.on North – South Corridor of Lucknow MRTS, Phase 1 A Project at Lucknow, Uttar Pradesh, India.

ANNEXURE- 2

Financial DATA

Applicant’s legal nameDate

Group Member’s legal name..... Pageof Pages

Each Applicant or member of a JV must fill in this form

S.N.	Description	Financial Data for Latest Last 5 Years (Indian Rupees)				
		2010-2011	2011-2012	2012-2013	2013-2014	2014-2015
1.	Total Assets					
2.	Current Assets					
3.	Total External Liabilities					
4.	Current Liabilities					
5.	Annual Profits Before Taxes					
6.	Annual Profits After Taxes					
7.	Net Worth [= 1 - 3]					
8.	Working Capital [=2 - 4]					
9.	Return on Equity					
10	Annual turnover (from construction)					
11	Gross Annual turnover					

Attach copies of the audited balance sheets, including all related notes, income statements for the last five audited financial years, as indicated above, complying with the following conditions.

1. Separate Performa shall be used for each member in case of JV/Consortium.
2. All such documents reflect the financial data of the Applicant or member in case of JV, and not sister or Parent Company.
3. Historic financial statements shall be audited by Statutory Auditor of the Company under their seal & stamp and shall be strictly based on Audited Annual Financial results of the relevant period(s). No statements for partial periods will be accepted.
4. Historic financial statements must be complete, including all notes to the financial statements.
5. Foreign applicants, in whose country calendar year is also the financial year, may submit all relevant data for the last 5 years i.e. 2010, 2011, 2012, 2013 and 2014.
6. Return on Equity = Net Income / Shareholders Equity
Return on Equity = Net Income is for the full fiscal year (before dividends paid to common stock holders but after dividends to preferred stock).
Shareholders equity does not include preferred shares.
7. The above Annexure shall be duly certified by Chartered Accountant / Company Auditor under his signature & stamp.

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ANNEXURE- 3A

FINANCIAL DATA

(CONSTRUCTION WORK DONE DURING THE LATEST LAST FIVE FINANCIAL YEARS)

NAME OF THE TENDERER (CONSTITUENT MEMBER IN CASE OF JV/CONSORTIUM):

(All amounts in Rupees in Crores)

S. No.	DESCRIPTION	Financial Data for Last 5 Audited Financial Years				
		Year 2010-2011	Year 2011-2012	Year 2012-2013	Year 2013-2014	Year 2014-2015
1	2	3	4	5	6	7
	Total value of construction work done as per audited financial statements					

NOTE:

1. Separate Performa shall be used for each member in case of JV/Consortium.
2. Attach attested copies of the Audited Financial Statements of the last five financial years as Annexure.
3. All such documents reflect the financial data of the tenderer or member in case of JV/Consortium, and not that of sister or parent company.
4. The financial data in above prescribed format shall be certified by Chartered Accountant / Company Auditor under his signature & stamp.
5. The above financial data will be updated to 31.12.2015 price level assuming 5% inflation for Indian Rupees every year and 2% for foreign currency portions per year. Selling rate of exchange at the close of business of the State Bank of India on the day twenty eight days before the latest date of Tender Submittal shall be considered for calculating equivalent value in INR.

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ANNEXURE- 3B

ANNEXURE 3B: Works in hand

AS on first day of the month of tender submission

Applicant’s legal name **Date**.....

Group Member’s legal name..... **Page****of** **pages**

Name and brief particulars of contract (Clearly indicate the part of the work assigned to the tenderer/applicant/s)	Name of client with telephone number and fax number	Contract Value In Rupees Equivalent (Give only the value of work assigned to the tenderer/applicant(s) (Assume inflation as given in Annexure1)	Value of balance work yet to be done in Rupee equivalent as on 31.12.2015	Date of Completion as per Contract Agreement	Expected Completion Date	Delay if any, with reason	Value of work to be done in 2015-16 (01.01.2016 to 31.03.2016)	Value of work to be done in 2016-17 (01.04.2016 to 31.03.2017)	Value of work to be done in 2017-18 (01.04.2017 to 31.03.2018)	Value of work to be done in 2018-19 (01.04.2018 to 31.12.2018)

Design and Construction of Tunnel from start of underground ramp (near Charbagh Metro Station) to end of underground ramp (near K.D.Singh Babu Stadium metro station) including three underground metro stations (viz. Hussainganj, Sachivalaya and Hazratganj) including Architectural finishes , E& M, TVS, ECS etc.on North – South Corridor of Lucknow MRTS, Phase 1 A Project at Lucknow, Uttar Pradesh, India.

ANNEXURE- 4

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Design and Construction of Tunnel from start of underground ramp (near Charbagh Metro Station) to end of underground ramp (near K.D.Singh Babu Stadium metro station) including three underground metro stations (viz. Hussainganj, Sachivalaya and Hazratganj) including Architectural finishes , E& M, TVS, ECS etc.on North – South Corridor of Lucknow MRTS, Phase 1 A Project at Lucknow, Uttar Pradesh, India.

ANNEXURE 5

Applicant's legal name Date.....
 Group Member's legal name..... Pageof pages

Key Staff Bio-data (Provide information for all key staff)

Name of Applicant		
Position		
<i>Candidate Information</i>	Name of Candidate	Date of Birth
	Professional Qualifications	
<i>Present employment</i>	Name of Employer	
	Address of Employer	
	Telephone	Contact (manager / personnel officer)
	Fax	Email ID
	Job title of candidate	Years with present employer

Summarize professional experience over the last 15 years, in reverse chronological order. Indicate particular technical and managerial experience relevant to the Project

From	To	Company / Project / Position / Relevant technical and managerial experience